澳門蘇亞利斯博士大馬路澳門財富中心9樓C2座 Avenida Doutor Mário Soares, FIT Center of Macau, 9 Andar C2, Macau 電話Tel: (853) 2881 1788 傳真Fax: (853) 2881 1798

Update of Client's Information (Corporate) 客戶資料更新表格 (公司賬戶)

| Ac | count Name 賬戶名稱 | | | | |
|--------------------|---|--|-------------------------------|--|---|
| Ac | count Number 賬戶號碼 | | | | |
| to to to con 如 另 名 | us has changed, that you have not provided in th 責公司從未向本公司就此是外,如在表格上並未有提付elease note update of custome主意,客戶資料之更改將提供的。 CHANGE OF CUSTO | e to inform us of the change(s). Also we nee form. 表格相關部份提供資料或有關資料已共資料,貴公司的現有有關資料會被 er profile information will be applied to a 適用於閣下於國泰君安集團開立之所 MER PROFILE INFORMATION 更改多 | /e 己 B c all 所 | shall assume there is 更改而需要通知本纪 見為並無更改。 of your accounts main 有賬戶。 | |
| (Ple | ease tick ✓ the appropriate be Change of Company Name | | | | |
| Ne ⁻ | w Company Name | 文成公司 11冊 | | | |
| 新 | 公司名稱 | | | | |
| i) | 青提供經簽名驗證的更改公 | 司名稱通知書複印本 Please provide the co | eri | tified true copy of Notice | e of Change of Company Name or similar. |
| | Change of Director 董事變] | 更# (please copy this page to complete if | f n | nore than 4 persons ar | nd sign on the supplementary page 如多於4人,請 |
| | 印此頁填寫,並在附加頁 | 上簽署) | | | |
| | 事 1 Director 1 | | | 事 2 Director 2 | 1 |
| (1) | Name 姓名 | (1, | - | Name 姓名 | |
| (2) | Date of Birth 出生日期 | (2, | - | Date of Birth 出生日期 | |
| (3) | □ ID Card 身份證 No. □ Passport 護照 | 號碼: (3 | 3) | □ ID Card 身份證 □ Passport 護照 | No.號碼: |
| (4) | Nationality 國籍 | (4) | 1) | Nationality 國籍 | |
| | ■ 3 Director 3 | | | 事 4 Director 4 | |
| (1) | Name 姓名 | (1) | | Name 姓名 | |
| (2) | Date of Birth 出生日期 | (2 | | Date of Birth 出生日期 | |
| (3) | □ ID Card 身份證 No. □ Passport 護照 | 號碼: (3 | 3) | □ ID Card 身份證 □ Passport 護照 | No.號碼: |
| (4) | Nationality 國籍 | (4) | 1) | Nationality 國籍 | |

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| ☐ Change of Sharehole Please complete below | | | | | | to (| nomnlete | if m | ore than 1 nev | ron and a | on on the |
|---|-------------------------------------|----------------------------------|-----------------|--------------------------------------|--|-------------|--------------------|-------|----------------------------------|-----------|------------------|
| supplementary page) 謂 | | | | | | | _ | | _ | | an on me |
| □ Mr 先生 Last N | ame (English): | Fi | rst Na | ame (English): | | | | | Chinese Nan | | |
| \square Mo $++$ \square \square \square \square \square \square | E: : Name: | | 文名 overter | of Birth: | | | | | 中文姓名: Date of Birth | | |
| □ Mrs.夫人 前名: | name: | | ountry ¦生國 | | | | | | 出生日期: | 1: | |
| ID Card No.: 身份證號碼: | | | | Place of 1 身份證例 | | of ID Card: | | | | | |
| Passport 護照號碼: | | | | | | | Place of 1 護照發出 | | e of Passport: | | |
| Nationality(Please fill in 國籍(可填寫多於一個 | | propriate): | | | Marital S 婚姻狀》 | | | _ | : 單身 ed 已婚 | | ced 離婚 wed 喪偶 |
| Residential Address: 住宅地址: | | | | | | | • | | Country 國家 | ₹: | |
| Permanent Address (if 永久地址 (如與住宅均 | | dential Address |): | | | | | | Country 國家 | ₹: | |
| (Country Code) Home ' (國家區號) 住宅電話 | | | | | | | de) Mobi 手提電記 | | none No.: 碼: () | | |
| E-mail Address: 電子郵件地址: | | | | | | | | | | | |
| Shareholding 所佔股權 | 튙(%): | | | | Control / | 所任 | 控制權 | (%): | | | |
| Employment就業情況 | | ployed 受僱 mployed 無業 | |] Self-employed 自] Housewife家庭: | | | Retired Student | | | | |
| Nature of Business 業務 | | in project ////// | | , 1100000 | L)4 4 | | 500000 | , | - | | |
| (Please refer Appendix | | | the re | levant | | | | | | | |
| number 行業列表請參 | 考附錄甲,並填 | 寫相關代號) | | | Duacaut I | ام مناه | | | | | |
| Name of Employer: 受僱/自僱機構名稱: | | | | | Present F 現時職化 | | 10n: | | | | |
| Office Address: | | | | | Country: | | | | | | |
| 辦公室地址: | | | | | 國家: | | | | | | |
| Country Code) Office 7 (國家區號) 辦公室電 | 話號碼(可選填): | | | | (Country Code) Fax No. (Optional): (國家區號) 傳真機號碼(可選填): () | | | | | | |
| Company E-mail Addre 公司電子郵件地址(中 | 丁選填): | | | | | | | | | | |
| Annual Income (HK\$) | ☐ <= \$250,000 ☐ \$1,000,001-\$ | | [| \$250,001-\$500, \$5,000,001-\$10 | | | | | 001-\$1,000,00 000,000 | 00 | |
| 全年收入(港幣) | | | | | | | | | ecify 須註明 | | |
| Net Worth(HK\$) 淨資產(港幣) | ==\$500,000 ==\$5,000,001-5 | \$10,000,000 00 please specif | | \$500,001-\$1,00 \$10,000,001-\$5 | |) | | | 00,001-\$5,000 000,001-\$100, | | |
| Source of Wealth | | ess Income 薪俸 | | | Savings | 学芸 | = | | | | |
| 財富來源 | - | tments 投資回氧 unds 退休金 | | | Inheritan | ce/C | lift 遺產/ | | 勿(Please fill A 售物業/資產 | | 3請填附錄乙) |
| # Please provide Board . 請提供經簽名驗證的最 △ Please provide the ce Signatories. 請提供經過 | 经期之公司註册處 artified true copy of P | 週年申報表、資 Passports or identit | 料更改 ty card. | 的短知及董事及股東 Sof Shareholders/Be | :名冊複印》 neficial Ow | ≰. vners | s, Director | s, Au | thorized Trader | | |
| Change of Correspond | ndence Address 更 | 改通訊地址 | | | | | | | | | |
| New Correspondence A新通訊地址 | ddress | | | | | | | | | | |
| ☐ Change of Business | L Address 更改商等 | | | | | | | | | | |
| Please provide the original 請提供最近1年內發出的 | or copy of statemen | ts which was issue | ed with | nin the latest 1 year a | s address p | oroof | | | | | |

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| New Business Address 新商業地址 | | | | | | | | | | | |
|---|---|--|--|---|--|--|--|--|--|--|--|
| | | | | | | | | | | | |
| | □ Change of Tax Residency 正改稅務居民所在國家/司法管轄 □ New Tax Residency 新稅務居民所在國家/司法管轄: | | | | | | | | | | |
| | | | <<海外賬戶稅收合規法案>>自行記 tification Form and (or) Individual FA | | | | | | | | |
| ☐ Change of Telephone N | umber 更改 | 電 話 | | | | | | | | | |
| Country Code) Office Tel No. (國家區號) 辦公室電話號碼 () | | | | | | | | | | | |
| (Country Code) Mobile Ph | Country Code) Mobile Phone No. (國家區號) 手提電話號碼 () | | | | | | | | | | |
| (Country Code) Fax. No. (| 國家區號) | 專真號碼 | () | | | | | | | | |
| ☐ Change of OTP setting | 更改 "一次 | 性驗證碼″設置 | | | | | | | | | |
| Mobile Phone No. for OTF | 獲取"一學 | 欠性驗證碼"手提電話號 | 凭碼 () | | | | | | | | |
| * Please delete as inappro Change of mailing meth By E-mail Address | iod 更改通語 | | | | | | | | | | |
| ☐ Update email address | 新電郵地均 | t | | | | | | | | | |
| New Email address 新電垂 | 邓地址 | | | | | | | | | | |
| Subscribe / Unsubscribe | * research re | eports sent by email 選擇/ | 拒絕* 以電郵收取研究報告 | | | | | | | | |
| Nature of Business 業務 (Please refer Appendix A number 行業列表請參考 | for List of Ir | dustries and fill in the rele [。] 近填寫相關代號) | vant | | | | | | | | |
| ☐ Financial Profile 財務制 | 大況 | | | | | | | | | | |
| Annual Revenue (HK\$) 全年營業額(港幣) | | 001-\$10,000,000 | □ \$500,001-\$1,000,000 □ \$10,000,001-\$50,000,000 試註明: | □\$1,000,001-\$5,000,000 □\$50,000,001-\$100,000,000 | | | | | | | |
| Annual Net Profit (HK\$) 全年淨利潤(港幣) | $(HK\$) \qquad \qquad \Box \$5,000,001-\$10,000,000 \qquad \Box \$10,000,001-\$50,000,000 \qquad \Box \$50,000,001-\$100,000,000$ | | | | | | | | | | |
| Total Assets (HK\$) 總資產(港幣) | | | | | | | | | | | |
| Source of Wealth 財富來源 | | s Income 營業收入 of Investments 投資回報 | ☐ Sale of Property/Assets ☐ Others:其他 | | | | | | | | |
| Source of Funds | | | | | | | | | | | |

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| □ Anticipated Level And Nature of Activity Per Month 預計每月交易次數及種類 | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| Anticipated Investment Products 預計投資產品 | Number of Transactions Per Month 每月交易次數 | Amount of Transactions Per Month (HK\$) 每 月交易金額 (港幣) | Anticipated Investment Products 預計投資產品 | Number of Transactions Per Month 每月交易次數 | Amount of Transactions Per Month (HK\$) 每月 交易金額 (港幣) | | | | |
| Stocks股票 | | | Structured Products 結構性產品 | | | | | | |
| Bonds 債券 | | | Funds 基金 | | | | | | |

| □ Other Disclosures 其他資料披露 |
|---|
| Is member of your group company(ies) currently maintaining account(s) with the companies of Guotai Junan Group? 貴公司隸屬之集團及旗下公司成員有否在國泰君安集團的公司開設賬戶? □ No 沒有 □ Yes 有 (If yes, please specify如有,請註明賬號: |
| Is your company and its shareholder(s), in control of 35% or more of the issued shares of any corporate client of Guotai Junan Group? 貴公司及其股東是否控制任何國泰君安集團的公司客戶35%以上的股權? □ No 沒有 □ Yes 有 (If yes, please specify如有,請註明賬號:) |
| Is your company acting as nominee company for a third party(the ultimate beneficial owner ("UBO") of this Account / person |
| ultimately benefiting from the transactions and bearing the risk/ person ultimately responsible for originating instructions for the account)? 貴公司是否作為代理人公司替第三者(最終實益擁有人/最終受益於交易及承擔風險人士/最終負責發出指示的人士)處理賬戶? No 不是 Ures 是 (If yes, full KYC information and Identity documents of 3 rd party are required. 如是,需提供第3方之詳細資料及身份證明文件。) |
| □ Update of knowledge of derivatives 更新衍生產品的認識 (Please tick the appropriate box. 請在適當空格加✔) |
| 1. □ I have attended courses or seminars on derivative product(s) 我曾接受有關衍生產品的培訓或相關課程 Name of courses or seminars相關培訓或課程名稱: □ Derivatives knowledge training provided by Guotai Junan group company 國泰君安集團公司提供的衍生產品課程 (Please provide the completed and signed "Derivatives Knowledge Questionnaire" 請提供已完成及簽署之「衍生產品問卷」) □ Other courses or seminars 其他培訓或課程 Name of courses or seminars相關培訓或課程名稱: □ Name of organized institution舉辦機構名稱: □ Attendance Date出席日期: □ My current or previous work experience has been related to derivative product(s) |
| 2. □ My current or previous work experience has been related to derivative product(s) 我現時或以往的工作經驗是與衍生產品有關 Name of employer 僱主名稱: Position 職位: Job nature 工作性質: |
| 3. □ I have executed six or more transactions in derivative products within the past three years 我曾在以往三年內進行過六宗或以上衍生產品交易 |
| Name of the financial intermediary(ies) through which you executed the derivative product transactions 你進行上述衍生產品交易時使用的金融機構名稱: |
| Please indicate relevant derivative product transactions experience in "Client Risk Profiling Questionnaire" 請在「風險承受能力問卷」填上相關衍生產品交易經驗 |

(II) CHANGE OF TRADING INFORMATION 更改交易資料

(Please tick ✓ the appropriate box 請勾選適當空格 ✓) Please delete as inappropriate 請删去不適用者 Internet Trading Function 網上交易服務: □ Request 增加 □ Cancel 取消 Register of Mobile Phone No. for OTP is required for Internet Trading Function 如增加網上交易服務,必需登記手提電話號碼以獲取"一次性驗證碼" ☐ Add first / Cancel / Change of * Personal Identification Number ("PIN") 首次新增/取消/更改*私人密碼("PIN") □ Request/ Cancel* Non-HK Market Trading Function 開通 / 取消* 香港以外的其他交易市場 □Shanghai-Hong Kong Stock Connect 滬港通 (Not Applicable to Mainland Investors 不適用於內地投資者) □Shenzhen-Hong Kong Stock Connect 深港通(Not Applicable to Mainland Investors 不適用於內地投資者) □ Canadian shares (b) 加拿大股票(b) □ Australian shares 澳洲股票] Bonds 債券] French shares 法國股票 □ Japanese shares 日本股票 ☐ Funds 基金 ☐ German shares 德國股票 ☐ Korean shares 韓國股票 ☐ Swiss shares 瑞士股票 ■ Malaysian shares 馬來西亞股票 ☐ Singaporean shares 新加坡股票 □ Taiwanese shares 台灣股票 □ US shares^(a) 美國股票^(a) ─ Vietnam shares^(c) 越南股票^(c) ☐ UK shares 英國股票 □ Structured Product 結構性產品(Applicable to Professional Investors only 只適用於專業投資者) Reason for Cancellation 取消原因 □ Request(a)(d) / Cancel* US Futures Trading Function 開通(a)(d) / 取消* 美國期貨交易 (a) If you have never completed a W-8 declaration or the declaration has expired, Please complete and return W-8BEN/W-8BEN-E/W8-IMY IRS tax return forms 如從未填寫W-8申報或申報已過期,請填寫並交回W-8BEN/W-8BEN-E/W8-IMY美國稅務局(IRS)稅務申報表格 (b) Please complete and return Canadian Market Data Agreement and NR Form 請填寫並交回加拿大市場數據協議書及NR Form (c) Please read carefully and understand Additional Risk Disclosures on Investing in Vietnamese Securities Market prior requesting Vietnam shares trading function https://www.gtias.com.mo/products/stock-trading/overseas 申請開通越南股票交易前,請先細閱及了解投資越南證券市場附加風險披露聲明 https://www.gtjas.com.mo/products/stock-trading/overseas (d) Please complete and return Trading Platform Application and Termination 請填寫並交回申請及終止交易平台 □ Change of other information 更改其他資料:

澳門蘇亞利斯博士大馬路澳門財富中心9樓C2座 Avenida Doutor Mário Soares, FIT Center of Macau, 9 Andar C2, Macau

Declaration & Signature 聲明及簽署

We hereby declare that the information given in this form is true and correct and that We have not willfully withheld any material fact(s). We understand that We may be required to provide additional information or submit documentary proof as to the information provided in this form when requested to do so.吾等謹此聲明表格上提供之所有資料均為真實及正確,吾等並未刻意隱瞞任何重要事實。除上述提供的 資料外,吾等清楚貴司會要求吾等提供更多相關的資料或證明文件。

| Authorized Signatures(s) with Company Chop (if applicable) 授權簽署及公司印章(如適用) | Date 日期 |
|--|---------|
| | |

| For GTJA Use Only | Signature Verified | Inputted | Reviewed |
|-------------------|--------------------|----------|----------|
| Signature: | | | |
| Date: | | | |
| | | | |

APPENDIX A - 附錄甲 LIST OF INDUSTRIES NO. 1 - 40 行業列表項目 1-40 (updated in October 2025) Advertising and Market Research Accommodation and Travel Services Activities of Religious Organisation 住宿及旅遊服務 宗教組織活動 廣告及市場研究 Atomic Power-related Entities 4. Arms, Defense, Military-related Entities Auction House/Pawnshop 軍火、國防、軍事相關實體 原子能相關實體 拍賣行/當舖 7. Civil Engineering, Construction and Crop and Animal Production, Hunting 9. Dual Use Goods Trader/Manufacturer -Engineering, Architecture Engineering and Related Service Activities Aerospace/Marine Products and 土木工程、建築與工程、建築工程及相關活 農作物及動物養殖、狩獵及相關服務活 Propulsion Systems/Lasers and Sensors 雙用途商品交易商/製造商-航空航太/ 動 動 海洋產品和推進系統 10. Embassies 12. Financial Service Activities (including 11. Entertainment (e.g. Casino and 大使館 Gambling-related Business, Bar, Disco, Banks, Broker and Dealers, Financial Night Club etc.) Holdings Company and Insurance and 娛樂場所(如賭場和賭博相關業務、酒 Pension Fund) 吧、的士高、夜總會等) 金融服務活動(含銀行、經紀商、金融 控股公司、保險和退休基金) 15. Import and Export, Wholesale and 13. Food and Beverage Service Activities 14. Healthcare and Veterinary Services 餐飲服務活動 醫療保健及獸醫服務 Retail Trade (except High Value Items) 進出口貿易批發及零售業(除高價值物 品外) 16. Information Technology Service Activities 17. Legal and Accounting Activities 18. Licensed Non-Government Organization (e.g. the Provision of IT Services, Software 法律及會計活動 經許可非政府組織 Development and Consulting etc.) 資訊科技服務活動(如提供IT服務、軟件開 發及諮詢等) 19. Manufacture of Daily Essentials/Household 20. Manufacture of Tobacco, 21. Marijuana-related Entities Goods (e.g. Food and Beverage, Textiles, Pharmaceuticals/Chemical/Metal 大麻相關實體 Furniture, Electronic Equipment etc.) Products or Transport Equipment 民生用品的製造(如食品飲料、紡織品、傢 煙草製品/藥品/化學品/金屬製品/運輸設 俱、電子設備等) 備的製造 22. Mining, Extraction and Trading of Fossil 23. Other Not Listed: Please state 24. Personal Investment Company/Vehicle 其他未列出的行業:請說明 Fuels, Precious Metal and Ores (e.g. Coal, 個人投資公司/工具 Petroleum, Natural Gas, Precious Metals, and Ores etc.) 化石燃料、貴金屬與磺物的開採與貿易(如 煤炭、石油、天然氣、貴金屬及磺物等)

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| 25. | Personal Service Activities (e.g. Laundry | 26. | Postal and Courier Activities | 27. | Public Services |
|-----|---|-----|---|-----|--|
| | and Dry-cleaning Services, Beauty and | _0. | 郵政及速遞活動 | | 公共服務 |
| | Body Prettifying Treatment, Massage | | 24-00,000,000,000,000,000,000,000,000,000 | | A / \/ \/ \/ \/ \/ \/ \/ \/ \/ \/ \/ \/ \ |
| | Services etc.) | | | | |
| | 個人服務活動(如洗滌及乾洗服務、美 | | | | |
| | 容及美體護理、按摩服務等) | | | | |
| 20 | Purchasing Agent and Key Opinion | 20 | Real Estate Activities | 30. | Regulated Money Services Business |
| 28. | Leader E-commerce | 23. | 地產活動 | 50. | |
| | | | 地连治期 | | (e.g. Money Exchange, Money Remitters, Check Cashers) |
| | 代購及網紅帶貨 | | | | · |
| | | | | | 受監管的貨幣服務企業(例如:貨幣 |
| | | 22 | 0.110 | | 兌換、匯款或支票兌現) |
| 31. | Repair and Installation Services (e.g. | 32. | Scientific Research and Development | 33. | Security and Investigation Activities |
| | Motor Vehicles, Motorcycles, | | 科學研究及發展 | | 保安及偵查活動 |
| | Computers, Personal and Household | | | | |
| | Goods, Machinery and Equipment etc.) | | | | |
| | 維修及安裝服務(如汽車、電單車、電 | | | | |
| | 腦、個人及家庭用品、機械及設備等) | | | | |
| 34. | Shell Banks or Shell Financial | 35. | Telecommunications | 36. | Transportation |
| | Institutions | | 電訊 | | 運輸 |
| | 空殼銀行或空殼公司金融機構 | | | | |
| 37. | Unlicensed Banks/Non-Bank Financial | 38. | Unregulated Charities | 39. | Utilities |
| | Institutions (including Unlicensed | | 無監管慈善機構 | | 公用事業 |
| | Money or Value Transfer | | | | |
| | Services/Money Services | | | | |
| | Businesses/Payment Service Providers) | | | | |
| | and Unlicensed and Unregulated Virtual | | | | |
| | Asset Exchange/Trading Platform | | | | |
| | Operators | | | | |
| | 無牌銀行/非銀行金融機構(包括無牌貨 | | | | |
| | 幣或價值轉移服務/貨幣服務企業/支付服 | | | | |
| | 務提供者)及無牌和非受監管虛擬資產 | | | | |
| | 交易所/平台營運者 | | | | |
| 40. | Wholesale/Retail/Import/Export of High | | | | |
| | Value Items (e.g. | | | | |
| | Watches/Jewelry/Precious Metals, | | | | |
| | Art/Antique, Wine, | | | | |
| | Vehicles/Vessels/Aircraft etc.) | | | | |
| | 高價值物品進出口貿易/批發/零售(如鐘 | | | | |
| | 錶珠寶/貴金屬、藝術品/古董、酒類、汽 | | | | |
| | 車/船舶/飛機等) | | | | |
| | | | | | |

澳門蘇亞利斯博士大馬路澳門財富中心9樓C2座 Avenida Doutor Mário Soares, FIT Center of Macau, 9 Andar C2, Macau 電話Tel: (853) 2881 1788 傅真Fax: (853) 2881 1798

| APPENDIX B 附錄乙 | | | | | | | | | |
|--|--|------------------------|-------------|------------|--------------------|------------------------|--|--|--|
| INHERITANCE/GIFT INFORMATION (PLEASE COPY THIS PAGE TO COMPLETE IF MORE THAN 1 | | | | | | | | | |
| PERSON) | | | | | | | | | |
| 遺產/贈物資料 (如多於 1 人,請複印此頁填寫) | | | | | | | | | |
| • | appropriate box. 請在適當空 | 格加了) | | | TET DONOR | | | | |
| _ | E BENEFACTOR | | | _ | IFT DONOR | | | | |
| 遺贈人 | | | | 貴贈人 | | | | | |
| □ Mr.先生 | Last Name (English): First Nam 英文姓: 英文名: | | | nglish) |): | Chinese Name: 中文姓名: | | | |
| □ Ms.女士 □ Mrs.夫人 | Relationship with the client/beneficial owner: 與客戶或實益擁有人之關係: | | | | Occupation: 職業: | | | | |
| Form of Inherita | ance/ Gift 遺產/贈物形式: | | | | | | | | |
| □ Cash 現金及 □ Properties 物 | | ☐ Securities☐ Others 其 | s 證券 其他: | _ | | | | | |
| Value(HKD): 價值(港幣): | | | | | | | | | |